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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/044,535	01/11/2002	Kevin W. Haulk	9872.00	9146

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PAUL W. MARTIN  
LAW DEPARTMENT, WHQ-4  
1700 S. PATTERSON BLVD.  
DAYTON, OH 45479-0001

EXAMINER

KERVEROS, JAMES C

ART UNIT	PAPER NUMBER
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2133

5

DATE MAILED: 05/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/044,535

Applicant(s)

HAULK ET AL.

Examiner

James C Kerveros

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 12 August 2002.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☒ Claim(s) 1-16 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 August 2002 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

1. Claims 1-16 are pending and are presently under examination.

***Drawings***

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the claimed limitation, "providing an error indication of the number exceeds a threshold" recited in claim 16 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

***Specification***

3. The abstract of the disclosure is objected to because the abstract of the disclosure does not comply with the proper language and format. The abstract should be in narrative form. The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

On line 1, the phrase "is described" should be deleted.

On lines 2 and 6, the term "may" should be deleted

Correction is required. See MPEP § 608.01(b).

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4. The specification is objected to under 37 CFR 1.71 because the specification lacks an enabling description for claims 1-7, in reference to limitation of "controlling the content and formatting" recited in the independent claim 7.

***Claim Objections***

5. Claims 1-16 are objected to because of the following informalities:

Claims 1, 7 and 12, lines 8, 8 and 9, respectively, the term "appears to be" should be changed to "is"

***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 7-11 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The specification fails to disclose the description with respect to claimed limitation of "controlling the content and formatting" as recited in the claim 7.

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7-11 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 7, line 3, the claimed limitation "the ESL including a plurality of registers for storing information controlling the content and formatting of the information displayed" renders the claim indefinite, because it is not clear how the ESL register is capable of "*controlling the content and formatting of the information*". The function of the ESL register is to store and display information.

Claims 8-11 are also rejected because of they depend upon a main rejected claim. Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brieche (US 5704049), ISSUED: December 30, 1997.

Regarding independent Claims 1 and 12, Briechle substantially discloses a method for an electronic price display system including addressable electronic shelf labels (ESLs) controlled by a host compute, comprising:

(a) transmitting a message (350) from a host computer (100) of the HOST SIDE to the ESL (110) of the LABEL SIDE, FIGS. 9 and 10.

(b) waiting (WAIT) for a response to the "global query" message received by the message (boxes 351, 352).

(c) if the response generated by (353, 354) is a negative acknowledgement (NACK) or no response is received by the host computer, then it is an indication that the responses from boxes (353, 354) collide on the communications channel and the host finds a CRC error, namely each message has been garbled, the two labels responded and no message was clearly received, FIG. 10 (box 355). If (NACK) received, then retransmitting a sub global query message (box 356), received by the message boxes 357 and 358, with respect to the same flag that was being tested in the global query of box 350, (col.14, lines 5-25).

Briechle does not explicitly disclose the method steps (d), (e) and (f), if the response from the ESL's is a positive acknowledgement, transmitting a "verification message" to verify the contents of the ESL's registers, waiting for a response to the verification message and then logging the message as successfully received.

However, Briechle discloses a method step (364), where the host transmits a global query, and receives the response in step (368) indicating that there are no collisions, which is equivalent to a positive acknowledgement (ACK), because only one label is

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responding. Then host resets the particular label in steps (369 and 370), and notes the event for further processing, (Col. 14, lines 43-49). Furthermore, Briechele discloses the step of a CRC check of received messages by a label side to verify if the message was received clearly, as shown in the flowchart (FIGS. 5a and 5b), steps 140-141.

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to transmit a "verification message" to the label reregister side, which includes the step of executing the CRC check step 141 after receiving a positive acknowledgement (ACK) from the label, as taught by Briechele, for the purpose of performing redundant CRC retransmission in order to verify proper CRC code transmitted with the data message, since a second CRC transmission will ensure data integrity due to redundancy.

Regarding independent Claim 7, in addition to the common limitations recited in claim 1 (steps a-d), Briechele substantially discloses an electronic shelf label (ESL) system (FIG. 1), including plurality of ESLs (110) for displaying information relating to an item of merchandize associated with the ESL. The ESL has a plurality of registers for storing information, such as UPC (uniform product code), label ID, controlling the content and formatting of the information displayed, which typically shows a price on a liquid-crystal display 107. Briechele does not disclose means for transmitting a verification message to verify the contents of the ESL's registers if the response appears to be a positive acknowledgement, as indicated by the same obviousness rejection over the modified reference of Briechele, according to the method of claim 1.

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Regarding Claims 2, 3, 13 and 14, Briechle does not explicitly disclose the method steps (g) and (h), if the response to the "verification message" is a negative acknowledgement or no response is received by the host computer, then step (g) retransmitting the message and then step (h) providing an indication of a communication problem.

However, Briechle discloses a response to a message, such as a NACK, which is a negative acknowledgement or no response received by the host computer, similar to step in (c) of claim 1 above. If NACK, then the host computer retransmits the message, and further provides an indication of a communication problem, such as error message 355, CRC error, namely the message has been garbled and no message was clearly received (col.14, lines 5-25). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to retransmit the message upon receiving NACK due to error transmission, as taught by Briechle, as to reassure that the correct data were received by the label registers.

Regarding Claims 4 and 9, Briechle does not explicitly specify that the message is a command to update at least one of the ESL's registers. However, Briechle discloses transmitting a global query message (350) from a host computer (100) received by ESL register (110), for identifying individual registers (FIGS. 9 and 10). Further, he describes an ESL is automatically updated when mounted in a new area, just as the host would also update its database when receiving the new appended location code following a response from the moved ESL (Col. 3, lines 15-18). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to



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automatically update an ESL register by sending a message to a register mounted in a new area, as taught by Briechle, since updates are required as different management modes are exercised (i.e., CAO, space management, etc.), or several layers of information are required to be successively displayed, even though previously stored in the ESL.

Regarding Claims 5 and 10, Briechle does not explicitly specify that the verification message is a data bedcheck message. However, Briechle discloses a "bedcheck" such as CRC check to verify if the message was received clearly (steps 140-141, FIGS. 5a and 5b). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to use CRC check, as taught by Briechle, for the purpose of verifying that the message received by the ESL registers contains correct data corresponding to a register.

Regarding Claims 6, 11 and 15, Briechle does not explicitly specify that the step of transmitting verification message immediately following the receipt of the positive acknowledgement. However, Briechle discloses the step (368), where the host receives a message indicating that there are no collisions, in response to a positive acknowledgement (ACK). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to use step (368), as taught by Briechle, for the purpose of performing redundant CRC retransmission in order to verify proper CRC code transmitted with the data message, since a second CRC transmission will ensure data integrity due to redundancy.

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Regarding Claim 8, Briechele does not explicitly specify that the host computer waits for a response to the verification message, and if the response to the verification message is positive acknowledgement, logging the message as successfully received, as indicated by the same obviousness rejection over the modified reference of Briechele, according to the method of claim 1.

Regarding Claim 16, Briechele discloses the steps (a) through (g) as applied to claim 1 above, which are repeated a plurality of times as indicated by the "global query" messages at steps (350) and (363) corresponding to at least two cycles as shown in FIG. 10. Briechele does not explicitly disclose the steps of tabulating statistical data of the number of times the response was a negative acknowledgement or no response was received and then providing an error indication of the number exceeding a threshold. However, Briechele provides a CRC error indication at step 355, corresponding to a (NACK) message, which occurs whenever a negative acknowledgement or no response received by the ESL 110. It would have been obvious to a person having ordinary skill in the art at the time the invention was made to count the number of (NACK) error messages in the electronic price display system of Briechele, so as to improve the maintainability of the system by monitoring the erroneous errors associated with RF communications links.

***Conclusion***

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to James C Kerveros whose telephone number is (703) 305-1081. The examiner can normally be reached on 9:00 AM TO 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert Decady can be reached on (703) 305-9595. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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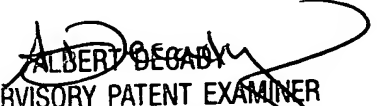
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U.S. PATENT OFFICE  
Examiner's Fax: (703) 746-4461  
Email: [james.kerveros@uspto.gov](mailto:james.kerveros@uspto.gov)

Date: 11 May 2004  
Office Action: Non-Final Rejection

By: 

James C Kerveros  
Examiner  
Art Unit 2133

  
ALBERT DECADY  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100